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Complete if Known Substitute for form 1449/PTO **Application Number** 09/688,983 Filing Date 10/17/2000 INFORMATION DISCLOSURE First Named Inventor Jeff S. Eder STATEMENT BY APPLICANT Art Unit 3628 (Use as many sheets as necessary) Harish T. Dass **Examiner Name**

Attorney Docket Number AR - 12 Sheet **U. S. PATENT DOCUMENTS** Pages, Columns, Lines, Where Cite No.1 **Document Number** Publication Date Name of Patentee or Examiner Relevant Passages or Relevant Initials* MM-DD-YYYY Applicant of Cited Document Figures Appear Number-Kind Code² (I known) us- 6,347,306 - B1 2-12-2002 Śwart, Marius 6-02-1998 US- 5,761,442 - B4 Barr, Dean US- 5,638,492 - B1 6-10-1997 Maeda, et al. 11-27-2001 ^{US-} 6.324,553 - B1 Agrawal et al. US- 6,330,564-B1 12-11-2001 Hellerstein et al. 3-23-1999 US- 5,887,120 - B1 Wicaly, Kelly US-8,909,708 - B1 6-21-2005 Krishneswamy et al. US-US-US-US-US-US-US-US-US-US-US-

	·	FORE	IGN PATENT DOCU	MENTS		
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Sheet		of		Attorney Docket Number	AR - 12	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
•		EVEREST, GORDON, Database Management, McGraw Hill, 1986	
		SIEGEL, JEREMY, "The Notsy Market Hypothesis", Wall Street Journal, June 14, 2006	
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Examiner		Date	
Signature	•	Considered	<u> </u>

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	09/688,983			
Filing Date	10/17/2000			
First Named Inventor	Jeff S. Eder			
Art Unit	3628			
Examiner Name	Harish Dass			
Attorney Docket Mumber	VM - 12			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f Incom)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
HTD		^{US-} 6,301,584 - B1	10-09-2001	Ranger, Denis	
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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		No.1 Foreign Patent Document	Cite Foreign Patent Document Publication Date MM-DD-YYYY	No.1 Date Applicant of Cited Document	Cite Foreign Patent Document Publication Name of Patentee or Pages, Columns, Lines, Date Applicant of Cited Document Passages Or Relevant Figures Appear

Examiner Signature	Harish	Dass	Date Considered	11-14-07

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(Use as many sheets as necessary)			Examiner Name	Harish Dass	
Sheet		of	Attorney Docket Number	VM - 12	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
HTP		Anonymous, "Survey: Too Clever by Half", The Economist, January 24, 2004	

Examiner	1	Date	
Signature	Harish Dass	Considered	11-14-02

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Complete if Known Substitute for form 1449/PTO Application Number 09/688,983 Filing Date 10/17/2000 INFORMATION DISCLOSURE First Named Inventor Jeff S. Eder STATEMENT BY APPLICANT Art Unit 3628 (Use as many sheets as necessary) Harish T. Dass Examiner Name Attorney Docket Number VM - 12 Sheet 1

Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (4 known)}	Publication Date NIM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
HTD	 	US- 5,224,034 - B1	06-29-1993	Katz, Paul	-
1		^{US-} 5,237,946 - B1	08-17-1993	Kagami, Akari	
		US- 5,128,861 - B1	07-07-1992	Kagami, Akari	
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		US- 4,989,141 - B1	01-29-1991	Lyons, Richard	
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,,,		US- 6,112,188 - B1	08-29-2000	Hamett, William	
7		^{US-} 6,134,536 - B1	10-17-2000	Shepherd, lan	

Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Γ
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁸ (# known)	MM-DD-YYYY	, Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	L
HTD		EP 0 587 290 A2	03-16-1994	Powers et al		L
H10		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi		Γ
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 09/688,983 Filing Date 10/17/2000 First Named Inventor Jeff S. Eder Art Unit 3628 Examiner Name Harish T. Dass VM-12 Attorney Docket Number

Examiner Initals*	City No.	Document Number Number-Kind Code ² (8 Assump	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
מדא		^{US-} 6,078,901 - B1	6-20-2000	Ching, Hugh	
1		^{US-} 5,802,501 - B1	9-1-1998	Graff, Richard A.	
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		^{US-} 6,148,293 - B1	11-14-2000	Douglas L. King	
1		^{US-} 5,742,775 - B1	04-21-1998	Douglas L. King	
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Y		^{US-} 5,644,727 - B1	07-01-1997	Atkins, Charles Agee	
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STATEMENT BY APPLICANT				First Named Inventor	Jeff S. Eder	
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Sheet	3	of	8	Attorney Docket Number	VM - 12	

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	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995,Red Herring				
3		RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press				
					5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company
	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press				
	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, Al Expert				
	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons				
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y	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin				

Examiner Signature Harish Dan	Date Considered	11-14-07
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TEXAMINER: Initial of reference considered, whether or not classon is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

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Substitute for form 1449/PTO				Complete if Known		
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(Use as many sheets as necessary)				First Named Inventor	Jeff S. Eder	
				Art Unit	3628	
				Examiner Name	Harish T. Dass	
Sheet	4	of	8	Attorney Docket Number	VM - 12	

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		11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
	12 WARD SYSTEMS GI		WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
BREALEY, RICHARD & MYERS, STEWART; Principle McGraw Hill		13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
1 1 144 1		14	FAULKNER, TERRENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage	
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		16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions,	
		17	WILSON, ALBERT, Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
ı		18	BROWN, GORDON T, Free cash flow appraisal, a better way, 4/1/1996, Appraisal Journal, V64, number 2,	
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	7	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

Examiner Signature	Harish Dass	Date Considered	11-14-07

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	09/688,983	
INFORMATION DISCLOSURE	Filing Date	10/17/2000	
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder	
(Use as many sheets as necessary)	Art Unit	3628	
	Examiner Name	Harish T. Dass	
Sheet 5 of 8	Attorney Docket Number	VM - 12	

	tials* Cite		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
# 70 21 REILLY, ROBERT; Valuation of it		21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio		
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Examiner Signature	Harish Dass	Date Considered	11-14-97
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Complete If Known Substitute for form 1449/PTO **Application Number** 09/688.983 INFORMATION DISCLOSURE Filing Date 10/17/2000 STATEMENT BY APPLICANT

(Use as many sheets as necessary)

First Named Inventor Jeff S. Eder Art Unit 3628 **Examiner Name** Harish T. Dass Attorney Docket Number VM - 12

	1 64	NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Initials* No.		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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		Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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 Complete if Known

 Application Number
 09/688,983

 Filling Date
 10/17/00

 First Named Inventor
 Jeff S. Eder

 Art Unit
 3628

 Examiner Name
 Harish T. Dass

 Attorney Docket Number
 VM - 12

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 of known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Signature	Harish Dass	Considered	11-14-07

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	Application Number	09/688,983
INFORMATION DISCLOSURE	Filing Date	10/17/2000
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder
(Use as many sheets as necessary)	Art Unit	3628
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Sheet of	Attorney Docket Number	VM - 12

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/688,983			
Filing Date	10/17/2000			
First Named Inventor	Jeff S. Eder			
Art Unit	3628			
Examiner Name	Harish T. Dass			
Attorney Docket Number	VM - 12			

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Attorney Docket Number

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INFO	DRMATION DISCLOSURE	Filing Date	10/27/2000
STA	TEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder
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		NON PATENT LITERATURE DOCUMENTS	
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Sheet		of	Attorney Docket Number	AR - 12	

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V		Chicago 2/17/200		nge, "SPAN - Frequently A	Asked Questions*, CME web site,	

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Complete if Known Substitute for form 1449/PTO Application Number 09/688,983 Filing Date 10/17/2000 INFORMATION DISCLOSURE First Named Inventor Jeff S. Eder STATEMENT BY APPLICANT Art Unit 3628 (Use as many sheets as necessary) Examiner Name Harish T. Dass Attorney Docket Number AR - 12 Sheet

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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶	
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